

Grant Number: 55-IH-53-15520
Report: IHP Report for 2023

First Submitted On: 07/07/2022

OMB CONTROL NUMBER: 2577-0218 EXPIRATION DATE: 07/31/2019

Last Submitted On: 07/30/2022

Email Address Tribes:	Isimons@swinomish.nsn.us Swinomish Indian Tribal Community
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State	WA
City	La Conner
Mailing Address	PO Box 677
Telephone Number with Area Code	360-466-4081
Contact Person	Simons, Lance
Recipient Information: Name of the Recipient	SWINOMISH HOUSING AUTHORITY
TDHE:	Yes
Tribe:	
Amended Plan	
Annual Performance Report (APR):	
Amended Plan	
Initial Indian Housing Plan (IHP):	Yes
Federal Fiscal Year	2023
Recipient Program Year	10/01/2022-09/30/2023
Grant Number	55-IH-53-15520

Overcrowded Households	✓	
Renters Who Wish to Become Owners		
Substandard Units Needing Rehabilitation		
Homeless Households	✓	
Households Needing Affordable Rental Units		
College Student Housing		
Disabled Households Needing Accessibility	✓	
Units Needing Energy Efficiency Upgrades	✓	
Infrastructure to Support Housing	✓	
Other (specify below)		
Planned Program Benefits	SHA will focus on maintaining its current rental and homebuyer stock in good physical condition by addressing the maintenance, rehabilitation and counseling needs of its renters and homebuyers; both 1937 Housing Act and NAHASDA units. The SHA will continue to assist with management and housing needs, and build its management capacity accordingly to meets its operational needs. The SHA will also update policies and procedures to ensure staff and tenant/homebuyer compliance with program rules and requirements.	
Geographic Distribution	the Swinomish Indian Reser State of Washington. The Sl current units under manage	HA will continue to operate its

Programs

2023-01 : Housing Services

Program Name:	Housing Services	
Unique Identifier:	2023-01	
Program Description (continued)	Housing services is designed to provide the following activities: tenant relations; rental/homebuyer agreement training; rental/homebuyer counseling and budget training creating newsletters; advocacy; and, resident referrals. Will also include maintaining the Authority's website.	
Eligible Activity Number	(18) Other Housing Service [202(3)]	
Intended Outcome Number	(6) Assist affordable housing for low income households	
APR: Actual Outcome Number	This information is only completed for an APR.	
Who Will Be Assisted	Low Income Native American Families.	
Types and Level of Assistance	Services to be delivered by Occupancy and Life Skills staff. Includes tenant relations; rental/homebuyer agreement training; rental/homebuyer budget training and counseling; newsletters; advocacy; and resident referral,.	
APR : Describe Accomplishments	This information is only completed for an APR.	
Planned and Actual Outputs for 12-Month Program Year	Planned APR - Actual	
	Number of 126 This information is only completed be served in Year for an APR.	
APR: If the program is behind schedule, explain why	This information is only completed for an APR.	

Uses of Funding:

The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	s Total all other for expended in 1 program (M)	12-month expe	otal funds to be ended in 12-month program year (N=L+M)
\$136,000.00	\$0.00	\$136,00	0.00
2023-02 : Transitional Housing			
Program Name:	Transition	al Housing	
Unique Identifier:	2023-02		
Program Description (continued)	Wellness I provide a Swinomish patient tre	safe and sober environr	sitional housing that will ment for homeless om substance abuse in-
Eligible Activity Number	(18) Othe	r Housing Service [202	(3)]
Intended Outcome Number	If Other: F	ctively participating in dr	sing for Tribal members
APR: Actual Outcome Number	This infor	mation is only complete	d for an APR.
Who Will Be Assisted	Low Incor	me Native American sin	gle and unemployed
Types and Level of Assistance	program b Wellness I funds on tl and negot utilities co	will have no operationa eyond making the renta Program. The SHA does his activity for contract riations. Expenditures fo me from Tribal sources. coverage on all SHA ur	al unit available to the s intend to spend IHBG management, renewal, or maintenance and Insurance will be carried
APR : Describe Accomplishments	This infor	mation is only complete	d for an APR.
Planned and Actual Outputs for 12-Month Progra	am Year	Planned	APR - Actual
	Number of Househo	olds to	This information is only completed for an APR.
APR: If the program is behind schedule, explain	why This infor	mation is only complete	d for an APR

The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

Prior and current year IHBG (only) funds	Total all other funds to be	Total funds to be
to be expended in 12-month program	expended in 12-month	expended in 12-month
year	program year	program year
(L)	(M)	(N=L+M)
\$10,000.00	\$0.00	\$10,000.00

2023-03: Housing Management Services

Program Name:	Housing Management Services
Unique Identifier:	2023-03
Program Description (continued)	Housing management Services is designed to provide the following activities: application intake; wait list maintenance; lease monitoring; collections; recertifications; file maintenance of all tenant application

	information and co order tracking.	rrespondence; ir	nspection and work
Eligible Activity Number	(19) Housing Man	(19) Housing Management Services [202(4)]	
Intended Outcome Number	(6) Assist affordate	(6) Assist affordable housing for low income households	
APR: Actual Outcome Number	This information is	This information is only completed for an APR.	
Who Will Be Assisted	Low Income Nativ	Low Income Native American Families.	
Types and Level of Assistance	Services to be delivered by Office Assistant and Operations Manager staff include: application intake; wait list maintenance; lease monitoring; collections; recertifications; maintenance of tenant and applicant files; inspection and work order tracking.		
APR : Describe Accomplishments	This information is	only completed	for an APR.
Planned and Actual Outputs for 12-Month Program Year		Planned	APR - Actual
	Number of Households to be served in Year	126	This information is only completed for an APR.
APR: If the program is behind schedule, explain why	This information is	only completed	for an APR.

Uses of Funding:

Prior and current year IHBG (only) funds

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Total all other funds to be

to be expended in 12-month program year (L)	•	led in 12-mont ogram year (M)	h ex	pended in 12-month program year (N=L+M)
\$185,000.00	\$0.00		\$185,	000.00
2023-04 : Rental Rehabilitation of 37 Act Home	es			
Program Name:	Re	ental Rehabilitat	on of 37 Act	Homes
Unique Identifier:	20)23-04		
Program Description (continued)	sto ne	ock when needed	d after tenan	HA current rental housing t move-out to address the unit from extensive
Eligible Activity Number	(1) Modernization	of 1937 Act	Housing [202(1)]
Intended Outcome Number	(3) Improve quality	of substance	dard units
APR: Actual Outcome Number	Th	nis information is	only comple	eted for an APR.
Who Will Be Assisted		Low and moderate income Native American Families residing in the SHA rental units.		
Types and Level of Assistance	rep	Rehabilitation activities as defined in CPD-16-02, such a replacing windows, doors, and drywall; rebuilding stairs, and substantial kitchen remodel.		drywall; rebuilding stairs,
APR : Describe Accomplishments	Th	This information is only completed for an APR.		eted for an APR.
Planned and Actual Outputs for 12-Month Program	ı Year		Planned	APR - Actual
	to	umber of Units be Completed Year	4	This information is only completed for an APR.
APR: If the program is behind schedule, explain w	hind schedule, explain why This information is only completed for an APR.		eted for an APR.	
	l .			

Uses of Funding:

The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

Prior and current year IHBG (only) funds to be expended in 12-month program

Total all other funds to be expended in 12-month

Total funds to be expended in 12-month

Total funds to be

year	program year	program year
(L)	(M)	(N=L+M)
\$80,000.00	\$0.00	\$80,000.00

2023-05: Rental Rehabilitation of NAHASDA Units

Program Name:	Rental Rehabilitation of NAHASDA Units	
Unique Identifier:	2023-05	
Program Description (continued)	Designed to rehabilitate the SHA current rental housing stock when needed after tenant move-out to address needed updates and rehabilitate the unit from extensive tenant damage.	
Eligible Activity Number	(5) Rehabilitation of Rental Housing [202(2)]	
Intended Outcome Number	(3) Improve quality of substandard units	
APR: Actual Outcome Number	This information is only completed for an APR.	
Who Will Be Assisted	Low and moderate income Native American Families residing in the SHA rental units.	
Types and Level of Assistance	Rehabilitation activities as defined in CPD-16-02, such as replacing windows, doors, and drywall; rebuilding stairs, and substantial kitchen remodel.	
APR : Describe Accomplishments	This information is only completed for an APR.	
Planned and Actual Outputs for 12-Month Program Year	Planned APR - Actual	
	Number of Units 3 This information to be Completed in Year is only completed for an APR.	
APR: If the program is behind schedule, explain why	This information is only completed for an APR.	

Uses of Funding:
The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

Prior and current year IHBG (only) funds	Total all other funds to be	Total funds to be
to be expended in 12-month program	expended in 12-month	expended in 12-month
year	program year	program year
(L)	(M)	(N=L+M)
\$60,000.00	\$0.00	\$60,000.00

2023-06: Operations and Maintenance for 1937 Act Units.

Program Name:	Operations and Maintenance for 1937 Act Units.
Unique Identifier:	2023-06
Program Description (continued)	Designed to establish and maintain systems for 1937 Act Housing units which ensure sound fiscal management, human resource management, and planning and development of maintenance programs. Funds support maintenance office staff and maintenance shop facilities; associated utility, upkeep and insurance costs; purchasing of supplies, materials, services and equipment; tenant relations; travel, training and Board meetings; selfmonitoring; legal assistance; billings and collections; reporting.
Eligible Activity Number	(2) Operation of 1937 Act Housing [202(1)]
Intended Outcome Number	(3) Improve quality of substandard units
APR: Actual Outcome Number	This information is only completed for an APR.
Who Will Be Assisted	LMI Native American Families residing in Low rent and Mutual Help Units
Types and Level of Assistance	Services include management functions such as accounting, work orders, procurement, reporting, BOC meetings, auditing services, tenant compliance and tenant

	referrals.As well as maintenance and general repairs to 1937 Act Homes.			
APR : Describe Accomplishments	This information is only completed for an APR.			
Planned and Actual Outputs for 12-Month Program Year		Planned APR - Actu		
	Number of Units to be Completed in Year	77	This information is only completed for an APR.	
APR: If the program is behind schedule, explain why	This information is only completed for an APR.			

Uses of Funding:

The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)				
\$710,000.00	\$150,000.00	\$860,000	.00		
2023-07 : Operations and Maintenance for NAH	HASDA units.				
Program Name:	Operations and Mai	ntenance for N	IAHASDA units.		
Unique Identifier:	2023-07				
Program Description (continued)	NAHASDA units whi human resource man development of mair administrative offices associated utility, up of supplies, materials relations;travel, train monitoring; legal ass	Designed to establish and maintain systems for NAHASDA units which ensure sound fiscal management, human resource management, and planning and development of maintenance programs. Funds support administrative offices and maintenance shop facilities; associated utility, upkeep and insurance costs; purchasing of supplies, materials, services and equipment; tenant relations;travel, training and Board meetings; selfmonitoring; legal assistance; billings and collections; reporting. As well as maintenance and general repair of NAHASDA Homes.			
Eligible Activity Number	(20) Operation and Units [202(4)]	(20) Operation and Maintenance of NAHASDA-Assisted Units [202(4)]			
Intended Outcome Number	(3) Improve quality	of substandard	units		
APR: Actual Outcome Number	This information is o	nly completed	for an APR.		
Who Will Be Assisted	LMI Native America Mutual Help Units	LMI Native American Families residing in Low rent and Mutual Help Units			
Types and Level of Assistance	accounting, work ord meetings, auditing so	Services include management functions such as accounting, work orders, procurement, reporting, BOC meetings, auditing services, tenant compliance and tenant referrals. As well as maintenance and general repair of the units.			
APR : Describe Accomplishments	This information is o	only completed	for an APR.		
Planned and Actual Outputs for 12-Month Program	Year	Planned	APR - Actual		
	Number of Units to be Completed in Year	49	This information is only completed for an APR.		

Uses of Funding:

APR: If the program is behind schedule, explain why

The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

This information is only completed for an APR.

Prior and current year IHBG (only) funds to be expended in 12-month program	Total all other funds to be expended in 12-month	Total funds to be expended in 12-month
year	program year	program year
(L)	(M)	(N=L+M)

2023-08: Home Construction

Program Name:	Home Construction			
Unique Identifier:	2023-08			
Program Description (continued)	Using a \$200,000 from this 2023 IHBG and \$250,000 from Non-federal investment income, SHA will plan and build a 5 bedroom - 2 bath home on a vacant lot that previously supported a housing unit. The lot already has power, water, and sewer.			
Eligible Activity Number	(4) Construction of Rental Housing [202(2)]			
Intended Outcome Number	(7) Create new affordable rental units			
APR: Actual Outcome Number	This information is only completed for an APR.			
Who Will Be Assisted	Low income eligible American Indians and Alaska Native housing applicants with large families will be assisted.			
Types and Level of Assistance	The new home will provide an additional safe and sanitary housing unit for large families on our wait list, alleviating the burden of overcrowding. The 5 bedroom unit will be the first unit this large in the current housing stock.			
APR : Describe Accomplishments	This information is only completed for an APR.			
Planned and Actual Outputs for 12-Month Program Year	Planned APR - Actual			
	Number of Units 1 This information to be Completed in Year for an APR.			
APR: If the program is behind schedule, explain why	This information is only completed for an APR.			

Uses of Funding:
The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

Prior and current year IHBG (only) funds	Total all other funds to be	Total funds to be	
to be expended in 12-month program	expended in 12-month	expended in 12-month	
year	program year	program year	
(L)	(M)	(N=L+M)	
\$200,000.00	\$250,000.00	\$450,000.00	

Maintaining 1937 Act Units, Demolition, and Disposition

Maintaining 1937 Act Units	The SHA will provide the necessary maintenance to existing rental units to ensure long-term viability. Participants in the Mutual Help program will be expected to be in compliance with their MHOA and accept responsibility for maintaining their units. Inspection of units will be conducted per SHA policies. Work orders generated by inspections and or residents' request will be entered into and tracked by HDS. Repair of tenant damages will be charged to tenants. Maintenance work performed by SHA on Mutual Help units will be charged tothe home buyers or the Homeowner Repair Program. Routine maintenance work items will continue as scheduled/needed. SHA will also continue its program of twice a year chimney sweeps by professional chimney sweeps and annual inspection, recharge and replacement of fire extinguishers and range hood canisters in all homes to reduce common fire hazards. Comprehensive rehabilitation of '37 Act rental units was completed approximately 15 years ago. SHA will plan for additional areas of repair for its housing stock based on inspections and industry standards for repair and upgrade of critical housing components.
Demolition and Disposition	There are plans to sell, at fair market value, two NAHASDA assisted homes to current tenants, both of which are over income per FY2022 Income Limits.

Sources of Funding

Funding Source	Amount on hand at beginning of program year (A)	Amount to be received during 12-month program year (B)	Total sources of funds (C=A+B)	Funds to be expended during 12- month program year (D)	Unexpended funds remaining at end of program year (E=C-D)
IHBG Funds:	\$1,200,000.00	\$1,378,535.00	\$2,578,535.00	\$1,986,000.00	\$592,535.00
IHBG Program Income:	\$0.00	\$300,000.00	\$300,000.00	\$250,000.00	\$50,000.00
Title VI:	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Title VI Program Income:	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
1937 Act Operating Reserves:	\$0.00		\$0.00	\$0.00	\$0.00
Carry Over 1937 Act Funds:	\$0.00		\$0.00	\$0.00	\$0.00
		LEVERAGED	FUNDS		
ICDBG Funds:	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Other Federal Funds:	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
LIHTC:	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Non-Federal Funds:	\$450,000.00	\$0.00	\$450,000.00	\$250,000.00	\$200,000.00
Total:	\$1,650,000.00	\$1,678,535.00	\$3,328,535.00	\$2,486,000.00	\$842,535.00

Uses of Funding

Program Name	Unique Identifier	Prior and current year IHBG (only) funds to be expended in 12- month program year (L)	Total all other funds to be expended in 12- month program year (M)	Total funds to be expended in 12- month program year (N=L+M)
Housing Services	2023-01	\$136,000.00	\$0.00	\$136,000.00
Transitional Housing	2023-02	\$10,000.00	\$0.00	\$10,000.00
Housing Management Services	2023-03	\$185,000.00	\$0.00	\$185,000.00
Rental Rehabilitation of 37 Act Homes	2023-04	\$80,000.00	\$0.00	\$80,000.00
Rental Rehabilitation of NAHASDA Units	2023-05	\$60,000.00	\$0.00	\$60,000.00
Operations and Maintenance for 1937 Act Units.	2023-06	\$710,000.00	\$150,000.00	\$860,000.00
Operations and Maintenance for NAHASDA units.	2023-07	\$330,000.00	\$100,000.00	\$430,000.00
Home Construction	2023-08	\$200,000.00	\$250,000.00	\$450,000.00
Planning and Administration		\$275,000.00	\$0.00	\$275,000.00
Loan Repayment (describe in 3 & 4 below)		\$0.00	\$0.00	\$0.00
Total		\$1,986,000.00	\$500,000.00	\$2,486,000.00

APR	The answer to the	nis question is only r	equested for an API
er Submission Items			
Useful Life/Affordability Period(s)	useful life as folk Period: Under \$5 \$15,001-40,000 construction/acq Based on these rehabilitation or the various units Project Year con Life a. 23-3; 16 r Maint. 0 years * yearsOps/Routin 1985 - 30 years rentals 1993 - 30 16; 9 Home buye years f. 23-10; 10	dopted the tiered schows: IHBG Funds Inv. 5,000 - 6 months \$5, - 10 years Over \$40 uisition of new conststandards for use of new development the managed by SHA a structed IHBG Assis entals 1972 - 30 years to 23-4; 15 rentals 19 years * c. Ops/Routine Maint. 0 years Not on the managed by SHA a structed IHBG Assis entals 1972 - 30 years 10 years Ops/Routine Maint. 0 years Ops/Routine er 2001 - 30 years Not of rentals 2012 - 30 years Not on the structure of the st	wested: Affordability 000-15,000 - 5 year ,000 - 15 years New ruction - 30 years IHBG funds for e current useful life re listed below: t. Remaining Useful rs Ops/Routine 980 - 30 23-6; 36 rentals 0 years * d. 23-7; 10 Main. 2 years e . 23 /A. Mortgage 10 years Ops/Routine
Model Housing and Over-Income Activities	None		
Tribal and Other Indian Preference Does the tribe have a preference policy?	YES		
boes the tibe have a preference policy?	Swinomish mem other federally re	ts are given to incon bers and other India cognized Tribes in c sed to establish the	n families enrolled i one out of the six
Anticipated Planning and Administration Expenses Do you intend to exceed your allowable spending cap for Planning and Administration?	NO		
Actual Planning and Administration Expenses Did you exceed your allowable spending cap for Planning and Administration?	The answer to the	nis question is only r	equested for an AP
Does the tribe have an expanded formula area?:	NO		
Total Expenditures on Affordable Housing Activities:		All AIAN Households	AIAN Households with Incomes 80% or Less of Median Income
	IHBG Funds	\$0.00	\$0.00
	Funds from Other Sources	\$0.00	\$0.00
For each separate formula area, list the expended amount	The answer to the	nis question is only r	equested for an AP
an Housing Plan Certification Of Compliance			
In accordance with applicable statutes, the recipient certifies that it will comply with Title II of the Civil Rights Act of 1968, Section 504 of the Rehabilitation Act of 1973, the Age Discrimination Act of 1975, and other federal statutes, to the extent that they apply to tribes and TDHEs, see 24 CFR 1000.12.	YES		
In accordance with 24 CFR 1000.328, the recipient receiving less than \$200,000 under FCAS certifies that there are households within its jurisdiction at or below 80 percent of median income.	Not Applicable		
The recipient will maintain adequate insurance coverage for housing units that are owned and operated or assisted with grant amounts provided under NAHASDA, in compliance with such requirements as may be established by HUD:	YES		

Policies are in effect and are available for review by HUD and the public governing the eligibility, admission, and occupancy of families for housing assisted with grant amounts provided under NAHASDA:	YES
Policies are in effect and are available for review by HUD and the public governing rents charged, including the methods by which such rents or homebuyer payments are determined, for housing assisted with grant amounts provided under NAHASDA:	YES
Policies are in effect and are available for review by HUD and the public governing the management and maintenance of housing assisted with grant amounts provided under NAHASDA:	YES

IHP Tribal Certification

Tribal Name	Certification Tribe has certificate on file with HUD		Tribal Name Certification Signatur		Signature	Title	Certify Date
Swinomish Indian Tribal Community			MALALO, TEPORA	GMS	08/16/2022		
ribal Wage Rate Certification							
 You will use tribally determing required for IHBG-assisted conditions. The Tribe has approximate in place in order for it to determine the second in the seco	nstruction or maintenance priate laws and regulations						
2. You will use Davis-Bacon o rates when required for IHBG-maintenance activities.	5	YES					
3. You will use Davis-Bacon a rates when required for IHBG-for the activities described bel	assisted construction except						
4. List the activities using triba	ally determined wage rates:						